

OSTROLENK, FABER, GERB & SOFFEN, LLP

Attorneys at Law

1180 Avenue of the Americas
New York, New York 10036-8403

Tel (212) 382-0700 Fax (212) 382-0888
email@ostrolenk.com

Express Mail #EL075473375US

Asst. Commissioner for Patents
Washington, DC 20231

OFGS File No. : P/3879-28
Inventor : Gregory D. Mills, et al.
Title : CREDIT HANDLING IN AN ANONYMOUS TRADING SYSTEM
Assignee : Electronic Broking Services Limited

Enclosed herewith please find the following documents in the above-identified application for United States Letters Patent:

xxx Preliminary Amendment
xxx Print EFS Data Sheet
50 Pages of Specification including Abstract and Claims
36 Numbered Claims Calculated as 57 Claims for Fee Purposes
9 Sheets of Drawing Containing Figures 1 to 15
--- Declaration and Power of Attorney
XXX Priority is Claimed under 35 U.S.C. §120 of prior United States patent application serial number 09/603,514 filed June 23, 2000
--- Certified Priority Application
--- Small Entity Status is claimed.
--- Assignment
xxx Return-Addressed Post Card

OFGS Check No. 5316, which includes the fee of \$ 1646.00, calculated as follows:

Basic Filing Fee:	\$ 710.00
Additional Filing Fees:	
Total Number of Claims in Excess of 20, times \$18:	666.00
Number of Independent Claims in Excess of 3, times \$80:	
One or More Multiple Dependent Claims: Total \$270.	270.00
Total Filing Fees or	
Total Filing Fee Reduced 50% for Small Entity:	
Assignment Recording Fee: \$40	
TOTAL Filing Fee and Assignment Recording Fee:	<u>\$1646.00</u>

In the event the actual fee is greater than the payment submitted or is inadvertently not enclosed, or if any additional fee during the prosecution of this case is not paid, the Patent and Trademark Office is authorized to charge the underpayment to Deposit Account No. 15-0700.

EXPRESS MAIL CERTIFICATE

Respectfully submitted,

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee (mail label #EL 075473375US) in an envelope addressed to: Asst. Commissioner for Patents, Washington, D.C. 20231, on June 26, 2001:

Dorothy Jenkins

Name of Person Mailing Correspondence

Dorothy Jenkins
Signature

June 29, 2001

Date of Signature

William O. Gray, III

Registration No.: 30,944

OSTROLENK, FABER, GERB & SOFFEN, LLP

1180 Avenue of the Americas

New York, New York 10036-8403

Telephone: (212) 382-0700

WOG:jg\dmk